

Principles remain key to effective oversight

Must include:

- Performance
- Risk, and
- Strength and quality of Self-Assessment system

Consensus that P450.5, as is, adequately describes oversight.

Should first assess best practices and decide on how to share

- Dick Nolan, BSO Manager, will form team from BSO, Berkeley Lab, RL, ORP and others to share best practices in oversight.
Meet by 10/02
- Decide on most effective way to share
- Report out by 11/02

Corporate enunciator system provides best statement of important performance metrics

- Will wait for output from this group.
- Best practices group will study how to incorporate.

Inclusion of risk in oversight planning.

- NNSA Oversight and Assurance Task Force specifically chartered to address risk vs. oversight.
- Again, best practices group will study how to incorporate into their report due 11/02

Assessing contractor self-assessment strength and inclusion in oversight planning.

- Will rely on output from session A-2.
- Again, Best Practices group to include in 11/02 report.

Integrating oversight planning should wait results of ORP pilot

- Assessment report due 9/13/02
- Full report will include lessons learned from planning
- Best Practices report due out by 11/02.
- Bill Smoot, ORP, task leader

A-2

Strengthening Contractor Self-Assessment Program

- There is a universal strong commitment to Self-Assessment.

- There are many good Self-Assessment approaches across the complex. More sharing and learning from each other would be helpful.

ACTION ITEMS

Sept.	EH workshop to gather Best Practices
Oct.	Publish Best Practices
Dec.	Presentations at ISM Exec. Meeting Sites/Contractors

- Consistent principles to judge effectiveness of Self-Assessments should be promulgated.

ACTION ITEMS

7/15	EH to prepare and promulgate draft
8/15	DOE Field and Contractors to comment
9/15	Final issue (by EH)

- A mandatory accreditation/certification-like process for self-assessment programs would not be beneficial.

ACTION ITEMS

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| EH 8/15 | Further graded approach definition |
| EH 9/30 | Dialog/Debate with Contractors and Field Offices |
| EH 10/30 | Final policy position with incentives |
- Trust-building behaviors, and enthusiasm are the keys to reduced DOE Oversight.